



# NATIONAL INTEGRITY AUTHORITY



## OBJECTIVES AND ACCOMPLISHMENTS OF ANI IN 2019

# GENERAL OBJECTIVES:

- The positive evolution of the Authority's perception in the public and the trust of civil society in ANI;
- Development of the specialized methodological basis;
- Increasing the number of verifications, control files, contravention processes and the quality of the finding acts;
- Finalizing the legislative framework, which regulates the activity of ANI;
- Adjustment, maintenance, administration and development of the "E-Integrity" system;
- Completing the number of ANI's staff;
- Ensuring the ANI infrastructure (starting the renovation works of the new allocated building, the necessary logistics for transporting the goods, creating the necessary working conditions for the employees with the necessary technical equipment);

**IN 2019 INTEGRITY INSPECTORS EXAMINED AND REGISTERED THE FOLLOWING RESULTS:**

**Examined and produced:**

- ❖ 453 notifications and petitions regarding integrity incidents
- ❖ 2,764 declarations of assets and personal interests
- ❖ 1,390 requests for the issuance of certificates of integrity

**As a result:**

**453**  
**Perfected protocols**

**301 - Initiations (~66,4%)**

**152 - Refuzal (~33,6%)**

**147**  
**Prepared findings documents**

**94 - Findings (~64%)**

**53 - Closure (~36%)**

**133**  
**initiated contraventional cases**

**100 - draw up protocols (~75%)**

**9 - terminated contraventional cases (~7%)**

**24 - contraventional cases in management (~18%)**

# EX OFFICIO CONTROL OF THE ANNUAL DECLARATIONS OF ASSETS AND PERSONAL INTERESTS SUBMITTED FOR 2018 AND RISK AREAS

Following the examination of the process of submitting the declarations of assets and personal interests for 2018 and the lists generated by AIS “e-Integrity”, by the order no. 6d of 21.05.2019, the risk and control areas of the declarations for 2018 were established, and cases were randomly distributed in the information system:

395 - declarations of judges;

693 - declarations of prosecutors;

101 - declarations of the Members of Parliament of the Republic of Moldova;

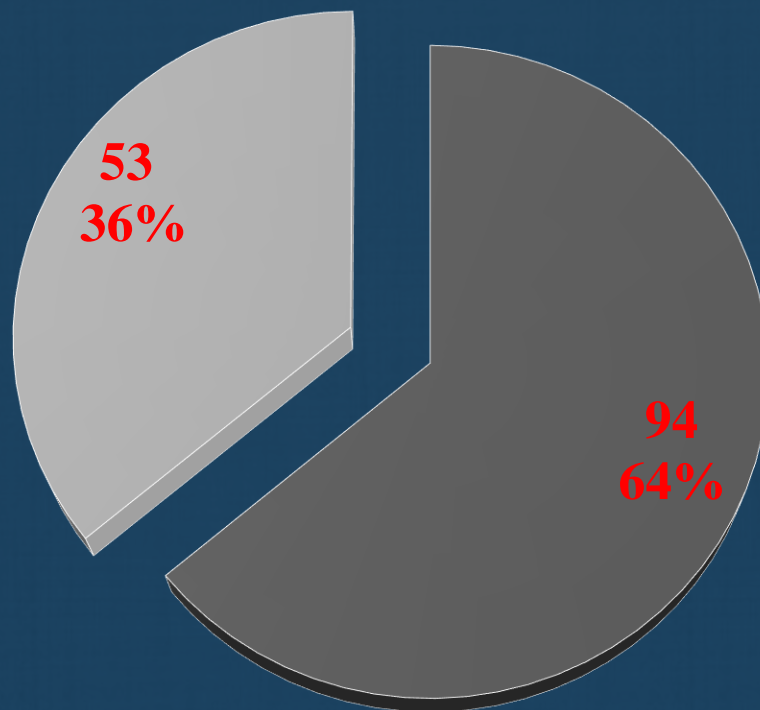
35 - declarations of the heads of the territorial units;

65 - declarations of the deputy heads of the territorial units;

12 - declarations of the Ministers.

N.B. Following the verification of these declarations of assets and personal interests, contravention protocols were drawn up based on art. 3302 of Contravention Code “Violation of the rules for declaring assets and personal interests” regarding 14 MP’s and ex-MP’s, 7 judges, 6 prosecutors, 5 leaders of public organizations, 5 local councilors, 4 civil servants with special status, 1 mayor and 1 civil servant, and in about 80 cases procedures for controlling assets and personal interests were initiated, most of them involving prosecutors, judges, MP’s and ex-MP’s, regarding 1 judge, the notification of withdrawal of mandate. was sent to the SCM.

***DURING THE REPORTING PERIOD, 147 FINDING ACTS WERE DRAWN UP***



■ Finding acts of violations - 94

■ Acts of cessation of control - 53

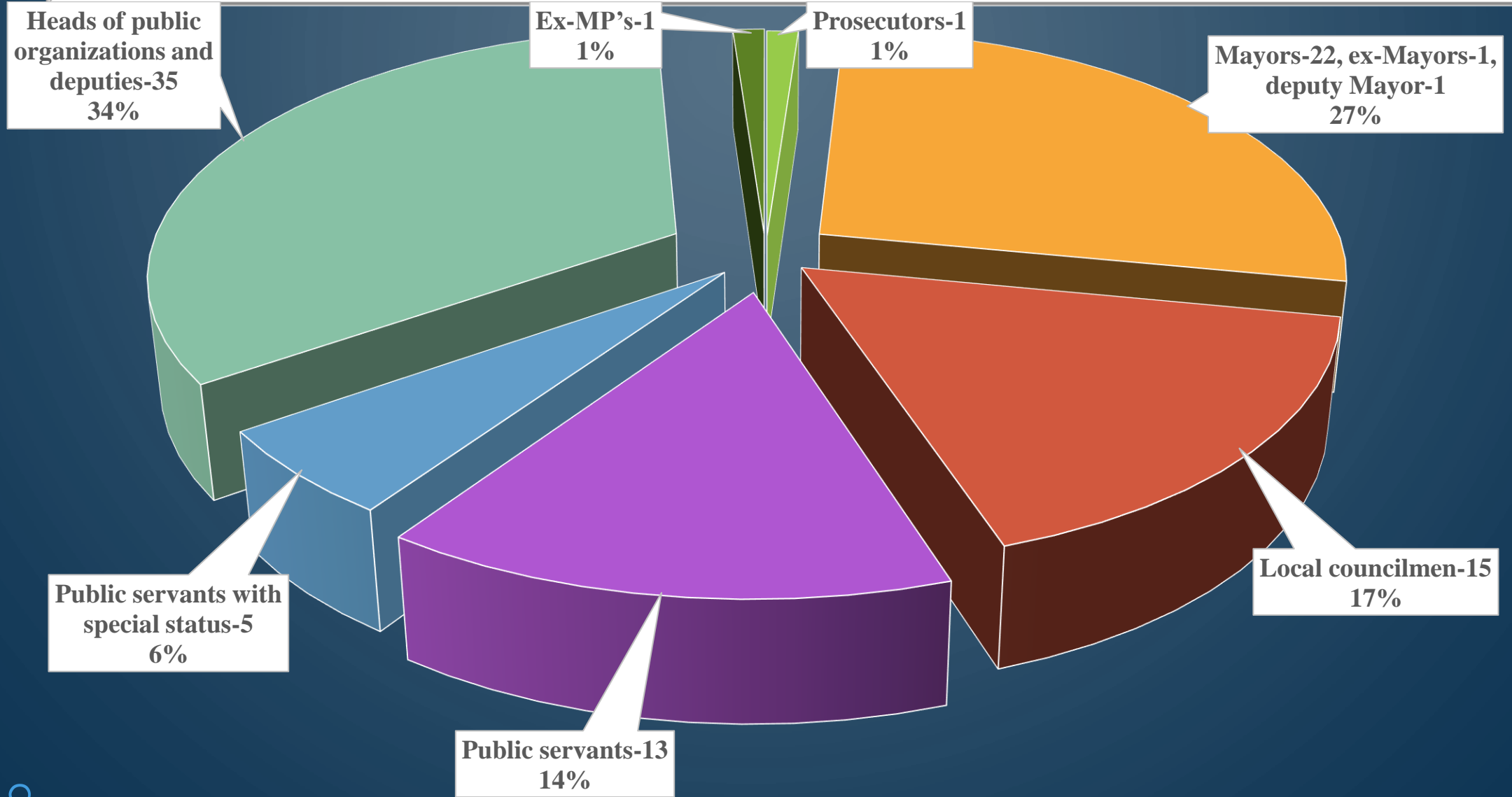
***THE 147 FINDING ACTS WERE FOCUSED ON THE CONTROL OF THE FOLLOWING REGIMES:***

<b>The legal regime</b>	<b>Finding acts (founded)</b>	<b>Finding acts (ranked)</b>	<b>Number of documents issued (total)</b>	<b>The rate of findings of the violations</b>
<b>Assets and personal interests</b>	<b>10</b>	<b>44</b>	<b>54</b>	<b>18,5%</b>
<b>Conflict of interests</b>	<b>60</b>	<b>5</b>	<b>65</b>	<b>92,3%</b>
<b>Incompatibilities</b>	<b>23</b>	<b>4</b>	<b>27</b>	<b>85,2%</b>
<b>Restrictions</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>100%</b>
<b>Limitations</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total</b>	<b>94</b>	<b>53</b>	<b>147</b>	<b>64%</b>

**THE 94 ACTS OF FINDING OF THE VIOLATION CONCERNED  
THE FOLLOWING CATEGORIES OF SUBJECTS:**

Category of subjects of the declaration	Total finding acts	Assets and personal interests	Conflicts of interests	Incompatibilites	Restrictions	Limitations
Prosecutors	1	---	1	---	---	---
Ex-Members of Parliament	1	---	---	1	---	---
Mayors	22	2	20	---	---	---
ex-Mayors	1	---	1	---	---	---
Deputy Mayors	1	---	1	---	---	---
Local councilmen	15	3	3	9	---	---
Public servants	13	---	---	12	1	---
Public servants with special status	5	3	---	2	---	---
Heads of the public organizations	35	---	35	---	---	---
<b>TOTAL</b>	<b>94</b>	<b>8</b>	<b>61</b>	<b>24</b>	<b>1</b>	<b>---</b>

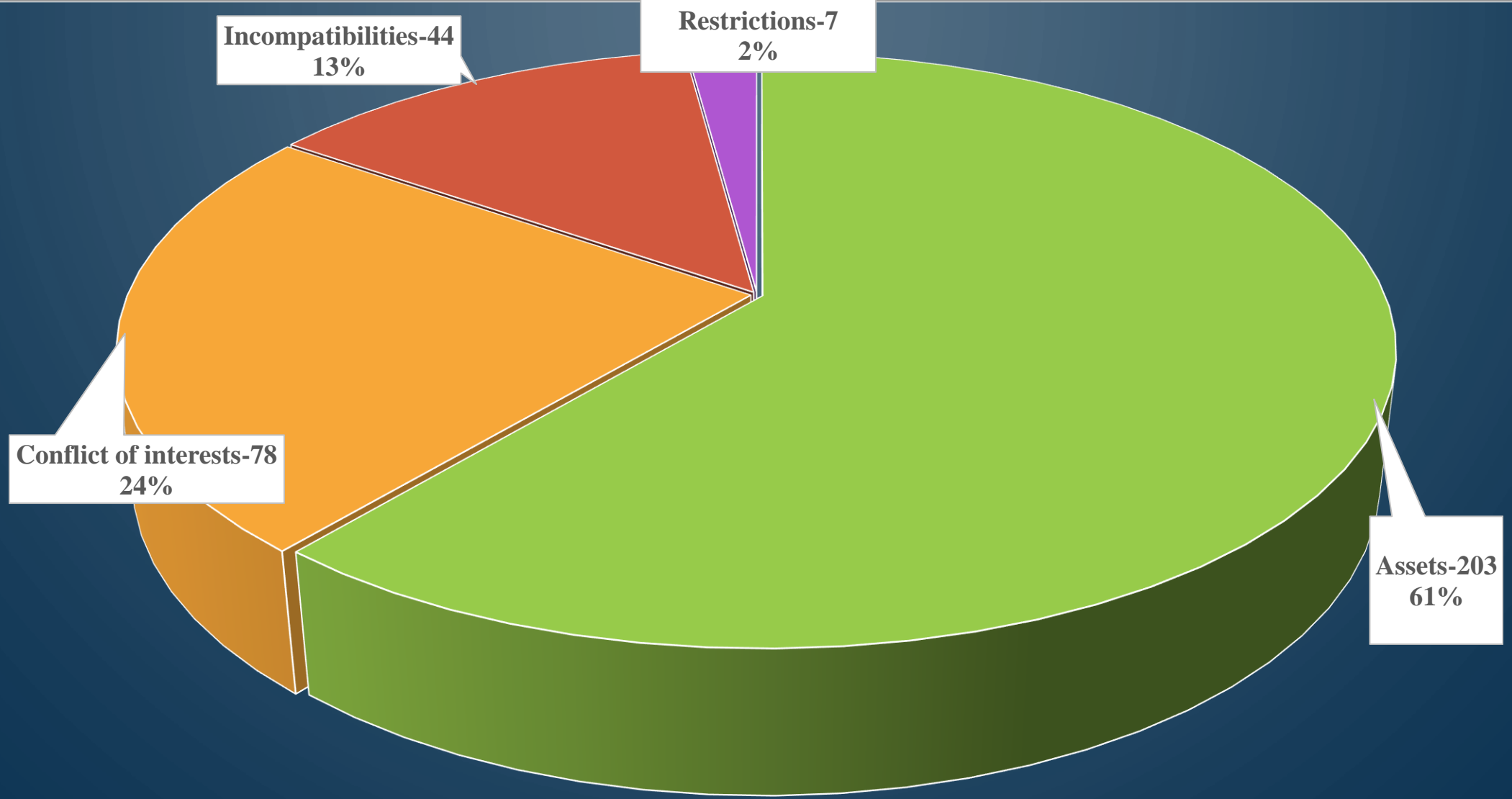
**THE 94 ACTS OF FINDING THE VIOLATION CONCERNED THE FOLLOWING CATEGORIES OF SUBJECTS:**



***FOLLOWING THE FINALIZATION OF THE ASCERTAINING DOCUMENTS REGARDING 76 SUBJECTS, THE TERMINATION OF THE MANDATE, OF THE LABOR OR SERVICE RELATIONS WILL BE REQUESTED AND THEY WILL BE DEPRIVED OF THE RIGHT TO EXERCISE ANOTHER PUBLIC POSITION OR A POSITION OF PUBLIC DIGNITY FOR A TERM OF 3 YEARS***

<b>Category of subjects of the declarations</b>	<b>Total finding acts</b>	<b>Assets and personal interests</b>	<b>Conflict of interests</b>	<b>Incompatibilities</b>
<b>Prosecutors</b>	<b>1</b>	<b>----</b>	<b>1</b>	<b>----</b>
<b>Ex-Members of Parliament</b>	<b>1</b>	<b>----</b>	<b>----</b>	<b>1</b>
<b>Mayors</b>	<b>17</b>	<b>----</b>	<b>17</b>	<b>----</b>
<b>Deputy Mayors</b>	<b>1</b>	<b>----</b>	<b>1</b>	<b>----</b>
<b>Local councilmen</b>	<b>12</b>	<b>----</b>	<b>3</b>	<b>9</b>
<b>Public servants</b>	<b>9</b>	<b>----</b>	<b>----</b>	<b>9</b>
<b>Public servants with special status</b>	<b>2</b>	<b>----</b>	<b>----</b>	<b>2</b>
<b>Heads of public organizations</b>	<b>33</b>	<b>----</b>	<b>33</b>	<b>----</b>
<b>Total</b>	<b>76</b>	<b>----</b>	<b>55</b>	<b>21</b>

**AS OF 01.01.2020 IN THE MANAGEMENT OF THE INTEGRITY INSPECTORATE WERE 332 CONTROL PROCEDURES INITIATED ON THE FOLLOWING ASPECTS:**



## **133 CONTRAVENTION CASES WERE INITIATED IN 2019**

<b>133 Contravention cases</b>	<b>100 contravention protocols were drawn up</b>
	<b>174 250 lei - Fines applied (~8 960 EUR)</b>
	<b>79 250 lei - Fines paid (~4 076 EUR)</b>
	<b>26 contravention cases sent to court</b>
	<b>9 ceased contravention cases</b>
	<b>3 contested contravention cases</b>
	<b>24 cases in management</b>

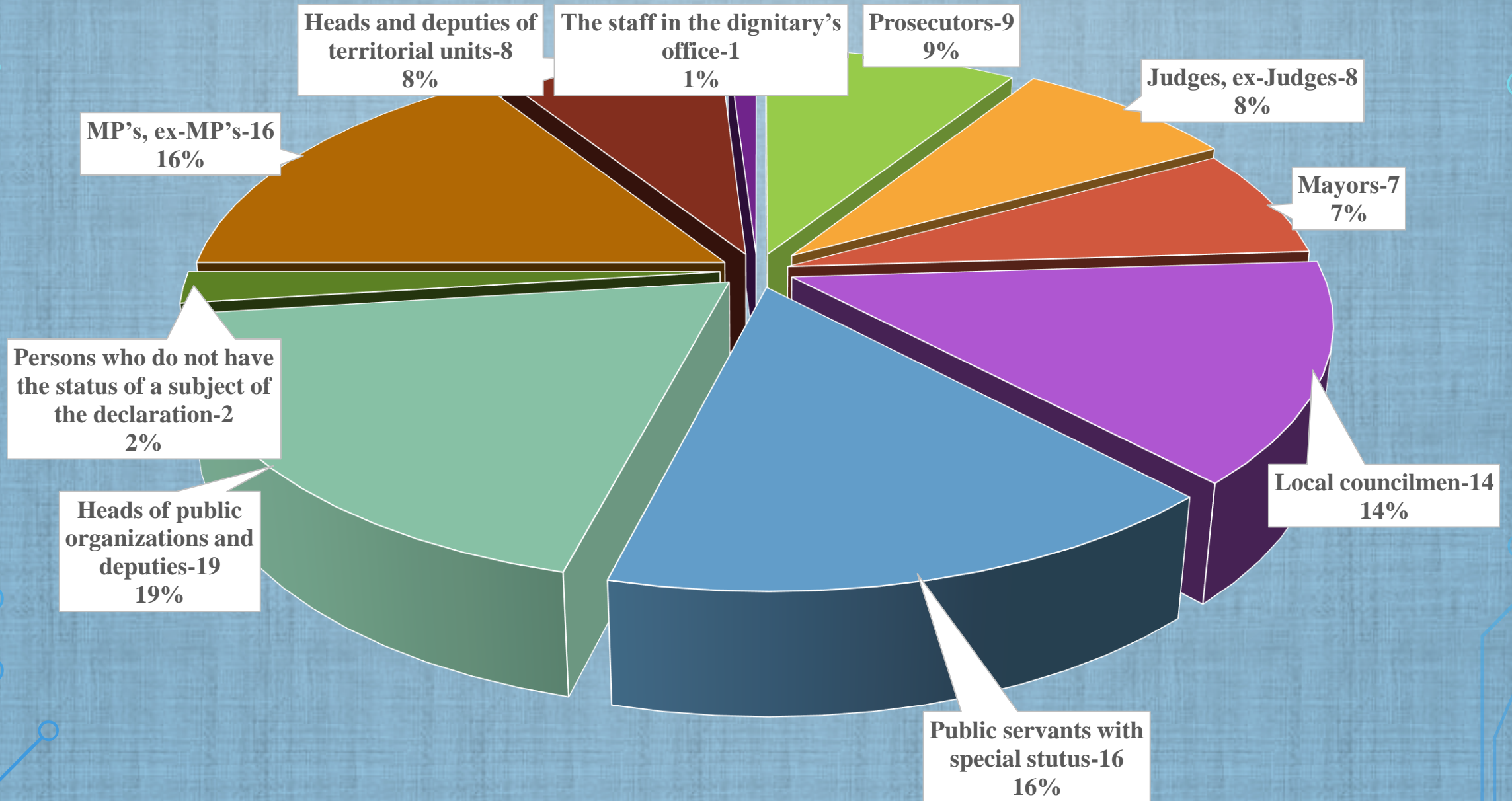
**THE 100 CONTRAVENTION PROTOCOLS WERE PROVIDED FOR THE FOLLOWING VIOLATIONS:**

Nr.	Violations of the provisions of the Contravention Code established:	Number of protocols drawn up
1.	art. 330 <sup>2</sup> "Infringement of the rules on the declaration of assets and personal interests"	72
2.	art. 313 <sup>2</sup> "Failure to declare or resolve a conflict of interest"	17
3.	art. 313 <sup>4</sup> "Infringement of the legal regime of incompatibilities and limitations applicable to public function or function of public dignity"	9
4.	art. 319 "Preventing the activity of the National Integrity Authority"	2
5.	art. 313 <sup>5</sup> "Failure to take measures regarding the execution of the provisions of the Law on the declaration of assets and personal interests"	0
6.	art. 313 <sup>6</sup> "Infringement of the legal regime of restrictions and limitations related to termination of mandate, employment or service relations and migration to the private sector of public officials (pantouflage)"	0
<b>Total</b>		<b>100</b>

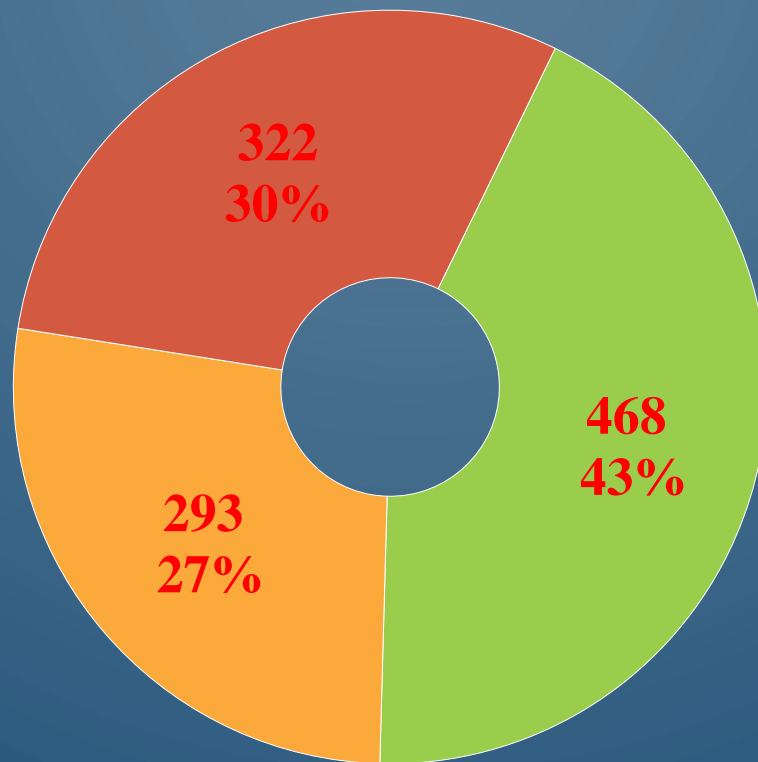
# *THE CATEGORIES OF SUBJECTS IN THE **100** CONTRAVENTION PROTOCOLS:*

The category of subjects of the declaration in respect of which contravention protocols were drawn up	Total protocols of the contravention drawn up	art. 313 <sup>2</sup> failure to declare or resolve a conflict of interest	art. 313 <sup>4</sup> Infringement of the legal regime of incompatibilities and limitations applicable to the public function or the function of public dignity	art. 313 <sup>5</sup> Failure to take measures regarding the execution of the provisions of the Law on the declaration of assets and personal interests	art. 313 <sup>6</sup> Violation of the legal regime of restrictions and limitations in connection with termination of mandate, employment or service relations and migration in the private sector of public officials (pantoufle)	art. 319 preventing the activity of the National Integrity Authority	art. 330 <sup>2</sup> Violation of the rules for declaring assets and personal interests
ex-MP's	12	-----	1	-----	-----	-----	11
Members of Parliament	4	----	----	----	----	----	4
ex-Judges	1	----	----	----	----	----	1
Judges	7						7
Prosecutors	9	1	----	----	----	----	8
The staff in the dignitaries' office	1	----	----	----	----	----	1
Heads of the territorial units	3	----	----	----	----	----	3
Deputies Heads of the territorial units	5	----	----	----	----	----	5
Mayors	7	5	-----	-----	-----	-----	2
Local councilmen	14	----	4	-----	-----	-----	10
Public servants	10	----	4	----	-----	-----	6
Public servants with special status	6	----	-----	-----	-----	-----	6
Heads of the public organizations	19	11	-----	-----	-----	-----	8
Persons who do not have the status of a subject of the declaration	2	-----	-----	-----	-----	2	-----

**IN THE 100 CONTRAVENTION PROTOCOLS DRAWN UP IN 2019, THE FOLLOWING SUBJECTS WERE TARGETED:**

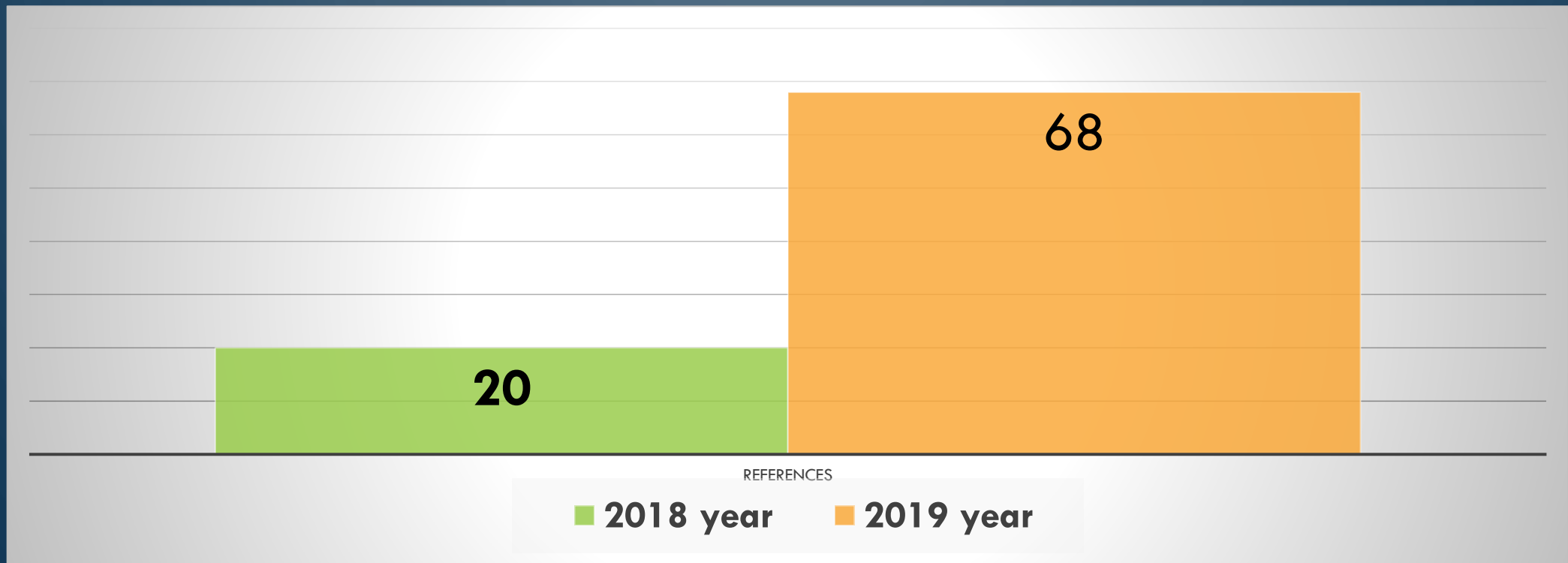


*DURING THE REPORTING PERIOD, REQUESTS HAVE BEEN RECEIVED, PROCESSED AND **1083** INTEGRITY CERTIFICATES WERE ISSUED, AS FOLLOWS:*



- Certificates for candidates for public function - 468
- Certificates for candidates for the position of Member of Parliament - 293
- Certificates for candidates in local elections - 322

# LEGAL ACTIVITY REFERENCES / ADMINISTRATIVE PROCEEDINGS ESTABLISHED



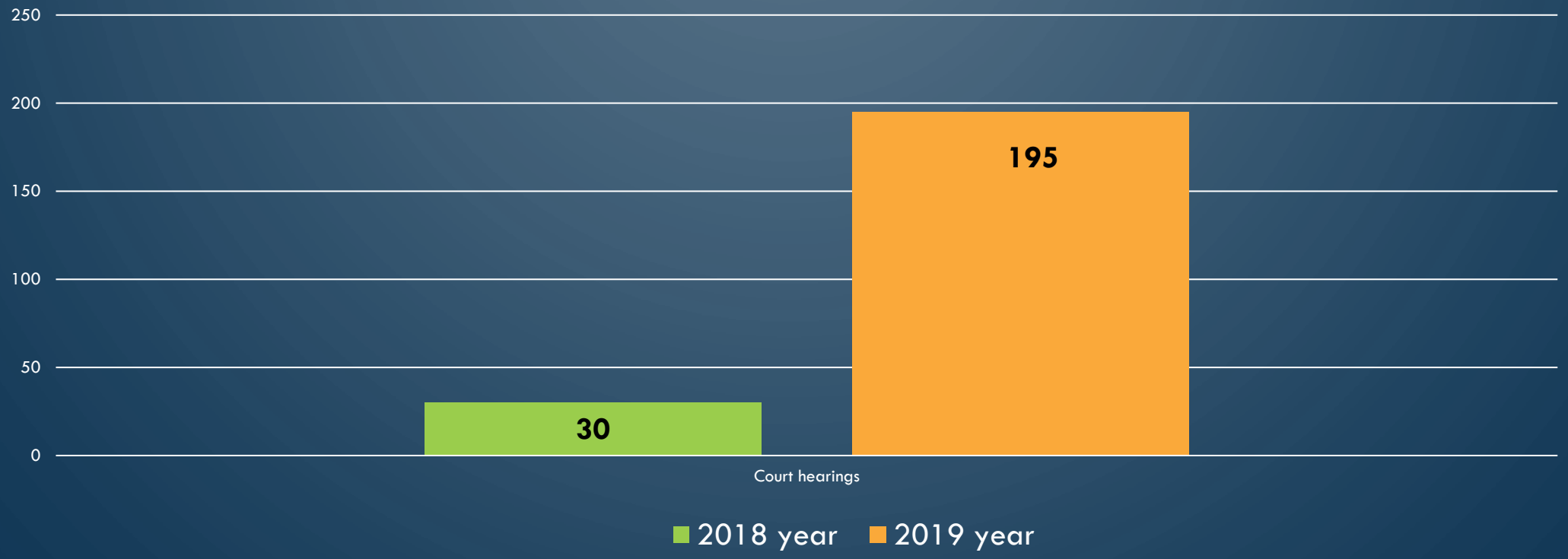
# LEGAL ACTIVITY

- Elaboration of 22 drafts of normative, legislative and departmental acts.
- Approval of 43 drafts of normative, legislative and departmental acts.
- Approval of 146 drafts finding acts issued by the Integrity Inspectorate.
- Participation in 92 meetings in working groups (round tables, meetings, workshops, etc).
- Providing legal support in 5 court hearings on contravention cases, of which 3 cases in the territory.

# LEGAL ACTIVITY

- 8 Appeals;
- 1 Recourse;
- 8 Applications for inadmissibility;
- 1 Request regarding the contestation of some evidence;
- 9 Requests for relocation - of court files, from district courts, according to the Administrative Code, to the Chisinau Court (Risani headquarters).
- 5 Requests to the court for the issuance of decisions / conclusions, which remained final;
- 4 Requests and materials additionally requested by the court
- 1 ANI appeal in court - of the CNPDCP decision on the Botezatu case.
- 1 Application to the court for a new deadline for the submission of the reference;
- 3 ANI actions in court regarding the nullity of documents issued in a situation of conflict of interests:

# COURT HEARINGS



- Cases pending before the courts:
- 69 cases, of which:
- 58 active cases (at the debate stage);
- 11 pending cases (in the preparation phase).
- A total of 13 final cases, of which:
- 10 in favor of ANI;
- 3 not in favor of ANI, of which 2 with the right to appeal.
- NB: The time limit for examining cases in court is from 6 months to 18 months, depending on the subject matter of the case and the length of the case in the courts of first instance, appeal and recourse (whether or not all three levels pass)

# EVALUATION IN THE FIELD OF PREVENTION

- 70 training sessions; 4,353 individuals trained; more than 11,000 instructional materials were developed, printed and distributed (brochures, leaflets, etc.); 3,500 guides.
- In 2019 there were a 242% increase in the number of trained people.
- Within the Information Campaign “Integrity does not hurt. Declare your assets on time”, 2,228 people were trained during the 33 training sessions, organized and carried out within the requesting entities and in the ANI premises.
- As part of the “Responsible Leader - Integral Employees” Information Campaign, 22 training sessions were organized and conducted for 1,686 heads of entities.
- 3 training sessions for 54 specialists, from all territorial subdivisions of the Public Services Agency (ASP), with the theme “How is requested and issued a certificates of integrity”, were organized and carried out (employees which meant to be trained directly in the described process).

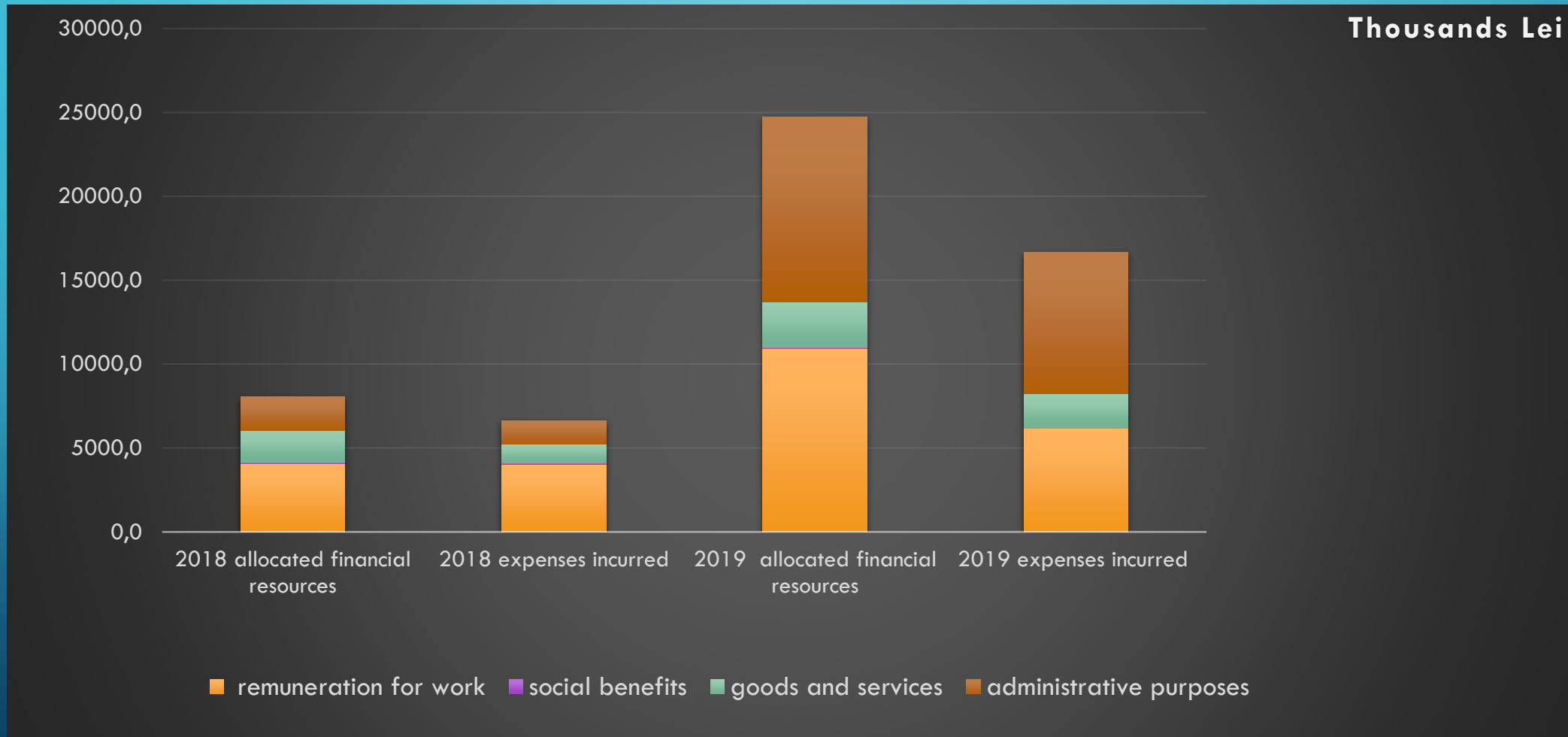
# TRANSPARENCY OF DECLARATIONS

- In a single virtual point, on the ANI website, is placed the Declarations Portal, which currently contains 637,714 declarations and includes:
- 203,708 scanned income and property declarations filed in 2011-2016;
- 214,278 scanned declarations of personal interests, filed during 2011-2016;
- 66,578 scanned declarations of assets and personal interests, submitted on paper during 2016-2017;
- 153,150 digitally signed declarations of assets and personal interests, submitted in electronic format during 2018-2020.

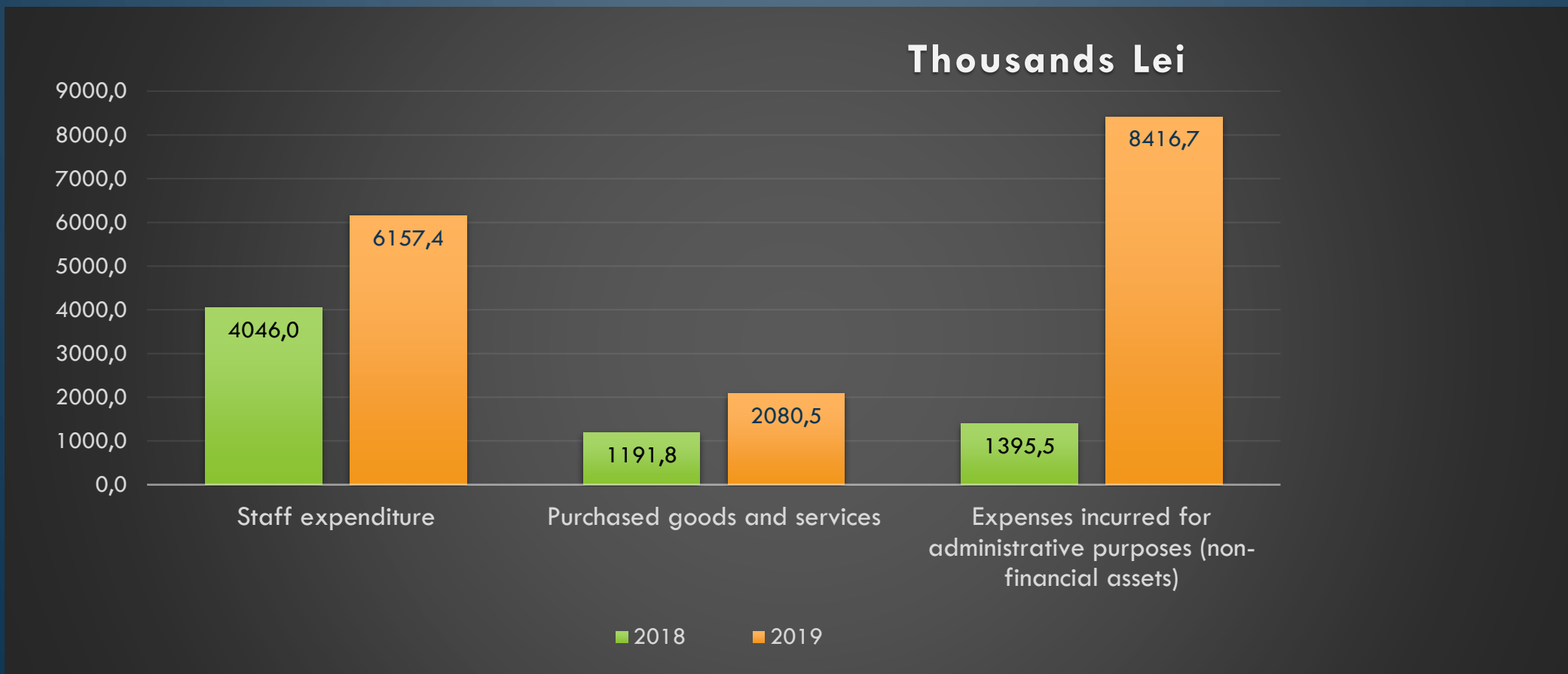
# HUMAN RESOURCE

- As of January 1, 2020 the degree of occupation of the staff positions in the National Integrity Authority is 52%, being employed:
- 39 civil servants and 2 employees with suspended service relations, of which:
- 16 integrity inspectors and one inspector with suspended employment;
- The average age of the employees of the ANI as of January 1, 2020 is 38 years.
- Gender statistics of ANI staff, an important tool for achieving the principle of equal rights and gender opportunities in institution, showed a number of 19 women (49%) and 20 men (51%).

# EXECUTION OF THE ANI BUDGET FOR 2019



# THE EVOLUTION OF THE ANI BUDGET EXPENDITURES FOR THE YEARS 2018-2019 BY CATEGORIES



- According to the provisions of Law no. 303/2018, Annex no. 3, the ANI budget records for 2019 revenues and expenses in the amount of 24 128.00 thousand lei.
- Compared to 2018, the financial allocations from the state budget for ANI were increasing by 50%, which is 16,082.7 thousand lei.
- In 2019, the budget was executed in the amount of 16,654.6 thousand lei, at the level of 70% of the annual provisions.
- In nominal value, expenses remained unexecuted in the total amount of 7473.4 thousand lei.



# NATIONAL INTEGRITY AUTHORITY



**Thank you for your attention !**

**26, GAVRIIL BANULESCU BODONI STR., CHISINAU, MD-2005,  
REPUBLIC OF MOLDOVA  
E-MAIL: [INFO@ANI.MD](mailto:INFO@ANI.MD)  
TEL: 022 820 601, 022 820 619, FAX: 022 820 602**